

IAP15 Rec'd PCT/PTO 03 NO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/552,660

Applicant

: Yan HONG

Filed

: October 11, 2005

TC/A.U.

Examiner

: 2577-158

Customer No.: 06449

Docket No.

Confirmation No.: 1905

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, Virginia 22313-1450

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE AND STATEMENT UNDER 37 C.F.R. § 1.821(f)

In response to the Notification of Defective Response dated October 18, 2006 (response copy attached), enclosed are the following:

- Fee Transmittal form authorizing the fee of \$50 be charged to our Deposit (1) Account;
- (2) Sequence Listing in paper form and on diskette (identical to the sequence listing filed on September 25, 2006). It is hereby certified that the content of the Sequence Listing information recorded in the computer readable form is identical to the Sequence Listing written on paper, and contains no new matter.

Respectfully submitted,

4hnen

Attorney for Applicant Registration No. 28,957

ROTHWELL, FIGG, ERNST, & MANBECK

Suite 800, 1425 K Street, N.W.

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FEE TRANSMITTAL for FY 2005 (Large Entity) Applicant claims small entity status		Complete if Known			
		Application Number	10/552,660		
		Filing Date	October 11, 2005		
		First Named Inventor	Yan HONG		
		Examiner Name			
		Group Art Unit			
		Attorney Docket Number	2577-158		
Total Amount of Payment	(\$)50	Confirmation Number	1905		

MET	HOD (OF PAYMENT (check on	e)			LATION (continued)	
The Commissioner is hereby authorized to charge		4. AD	DITION	NAL FEES			
[2		fees indicated below or credit		Fee	Fee		
		osit Account Number 02-213	• •	Code	Paid	Fee Description	Fee Paid
		hwell, Figg, Ernst & Manbeck		1051	130	Surcharge - late filing fee or oath	[]
T _V	_		Lumdon 27 CED	1052	50	Surcharge - late provisional filing fee	[]
X		arge any additional fee required				or cover sheet	
	- 1.1t	and 1.17 to Deposit Account	NO. UZ-2135.	1053	130	Non-English specification	[]
2	Pay	ment by check enclosed		1812	2,520	For filing a request for reexamination	[]
				1804	920	Requesting publication of SIR	[]
FEE	CALC	ULATION				prior to Examiner action	
				1805	1,840	Requesting publication of SIR	[]
 FI 	LING,	SEARCH AND EXAMIN	ATION FEES			after Examiner action (reduced by basic	
	_					filing fee paid)	
Code		Fee Description	Fee Paid	1251	120	Extension for reply within first month	[]
1001	300	Utility Filing Fee	Į Į	1252	450	Extension for reply within second month	[]
	790	filed before Dec. 8, 2004	į į	1253	1,020	Extension for reply within third month	[]
1111	500	Utility Search Fee	į į	1254	1,590	Extension for reply within fourth month	[]
1311	200	Utility Examination Fee	ļ ļ	1255	2,160	Extension for reply within fifth month	[]
1002	200	Design Filing Fee	ļ	1401	500	Notice of Appeal	[]
	350	filed before Dec. 8, 2004	ļļ	1402	500	Filing a brief in support of an appeal	[]
1112	100	Design Search Fee	j j	1403	1,000	Request for Oral Hearing	[]
1312	130	Design Examination Fee	ļļ	1451	1,510	Petition to institute a public use proceeding	[]
1003	200	Plant Filing Fee	ļļ	1452	500	Petition to revive -unavoidable	[]
	550	filed before Dec. 8, 2004	ļ ļ	1453	1,500	Petition to revive - unintentional	[]
1113	300	Plant Search Fee	ļ	1807	50	Processing fee under 37 CFR 1.17(q)	[]
1313	160	Plant Examination Fee	ļļ	1806	180	Submission of Information Disclosure Statement	[]
1004	300	Reissue Filing Fee	į	1809	790	Filing a submission after final rejection	[]
	790	filed before Dec. 8, 2004	į į			(37 CFR .129(a))	
1114	500	Reissue Search Filing Fee	ļ	1810	790	For each additional invention to be	[]
1314	600	Reissue Examination Fee	Į į			examined (37 CFR 1.129(b))	
1005	200	Provisional Filing Fee	[]	1801	790	Request for Continued Examination (RCE)	[]
			_	1802	900	Request for expedited examination	[]
		SUBTOTAL	\$			of a design application	
2. C	LAIMS	8		1504	300	Publication fee for early, voluntary, or	[]
		Extra				normal publication	
		Claims	Fee Fee Paid	1505	300	Publication fee for republication	[]
Total (Claims	[22] - 21* = [1] x	\$50 = [50]	1455	200	Filing application for patent term adjustment	[]
	endent		•	1456	400	Request for reinstatement of term reduced	[]
Claim		[2]-3*=[0]x	200 = []	1814	130	Statutory Disclaimer	[]
		endent Claims +	360 = []	Other f	ee (speci	fy)	[]
		reviously paid, if greater	· ·				

SUBTOTAL \$50

SUBTOTAL \$

3. APPLICATION SIZE FEE

Total Sheets [] - 100 = []/50 = []** x \$250 =

** Number of each additional 50 or fraction thereof

SUBTOTAL \$

SUBMITTED BY	Complete (if applicable)				
NAME AND REG. NUMBER	Jeffrey L. Ihnen, Reg. No. 2	28,957			
SIGNATURE	ff Ih	DATE	3 November 2006	DEPOSIT ACCOUNT USER ID	



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Vuginia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO. 2577-158 10/552,660 Yan Hong INTERNATIONAL APPLICATION NO. RECEIVED/FACILITIES PCT/SG04/00093 ROTHWELL FIGG ERNST & MANBECK FILE NO .: 6449 ROTHWELL, FIGG, ERNST & MANBECK. P.C. I.A. FILING DATE PRIORITY DATE 04/14/2004 04/14/2003 1425 K STREET, N.W. SUITE 800 WASHINGTON, DC 20005 **CONFIRMATION NO. 1905 371 FORMALITIES LETTER**

Date Mailed: 10/18/2006

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 10/11/2005
- Copy of the International Search Report filed on 10/11/2005
- Copy of Annexes to the IPER filed on 10/11/2005
- Preliminary Amendments filed on 10/11/2005
- Information Disclosure Statements filed on 05/11/2006
- Biochemical Sequence Diskette filed on 10/11/2005
- Oath or Declaration filed on 10/11/2005
- Biochemical Sequence Listing filed on 10/11/2005
- Request for Immediate Examination filed on 10/11/2005
- Copy of references cited in ISR filed on 10/11/2005
- U.S. Basic National Fees filed on 10/11/2005
- Substitute Specification filed on 10/11/2005

Applicant's response filed 09/25/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 06/08/2006 have not been completed.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Additional claim fees of \$50 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$50 for a Large Entity:

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as
indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
of the sequence listing information recorded in computer readable form is identical to the written (on paper
or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Total additional claim fee(s) for this application is \$ 50

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/552.660	PCT/SG04/00093	2577-158

FORM PCT/DO/EO/916 (371 Formalities Notice)

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